

**Three Rivers Levee Improvement Authority**

**200-year Goldfields Levee Project**

**Urban Flood Risk Reduction  
Funding Agreement #4600012115  
dated: October 4, 2017**

**Revised Quarter 22 Financial Report**

**October - December 2022**

**Submitted by:  
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Executive Director**



**August 8, 2023**

## 1. Background

As part of its 200-Year Goldfields Levee Project (Project), the Three Rivers Levee Improvement Authority (TRLIA) constructed a new levee, approximately 2.6 miles long, to replace the deteriorating conditions of the high ground at the termination of the State Plan of Flood Control (SPFC) near the Yuba Goldfields.

The Project is being funded through the Urban Flood Risk Reduction Program via Funding Agreement #4600012115, effective on October 4, 2017. Three amendments have been executed. The first amendment was executed in April 2020 and increased the budget to its current amount. The second amendment was executed in January 2021 and incorporated work at Crossing 21 and Site J Saddle, but without a change to the budget. A third amendment in August 2021 incorporated the 500-year effort, again, without a change to the budget. In November 2022 the Climate Resiliency Project and Goldfields Multi-benefit Project Feasibility Study was added to the funding agreement, with no change to the budget.

## 2. Summary

This report represents Quarter 22, October - December 2022.

Table 2-1 summarizes the total true-up calculation with this report.

**Table 2-1 Quarterly True-Up Summary**

<b>Description</b>	<b>Amount</b>
True-Up Request for Elements 1-4, 6-9 [1]	\$364,033
State Share True-Up Request for Elements 1-4, 6-8 [2]	\$309,428
Retention Held True-Up Request	\$30,943
<b>Total Quarterly True-Up Amount</b>	<b>\$278,485</b>

[1] Represents QPR 22 True-Up for Oct-Dec 2022

Note, because the project is in an overfunded situation based on the total advances and true-up payments made to date, no payment is requested at this time. Reference Table 3-3 below.

### 3. Summary of Project Costs

**Table 3-1** provides a summary of the actual Costs for the Quarter (refer to the revised and finalized SOC's included with this report dated August 8, 2023). **Table 3-1** also shows the State Share True-Up amount and Local Credit True-Up amounts for the actual costs incurred during the prior quarter.

For a reconciliation of the approved credit and approved and projected credit payments, refer to QPR13. As of QPR13 the authorized initial and supplemental credit of \$1,137,718 in state dollars has been complete.

**Table 3-1 Quarter 22 Actual Costs**

Element	Description	State Cost Share A	Actual Costs for this Request C	Actual State Cost Share E = C x A	Retention on		True Up Payment By State G = E - F	Local Creditable Cost Share H = C x (1 - A)
					Actual State Cost Share (10%) F = E x 10%			
1	Grant Application	85%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2	Program Management	85%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3	Design & ROW Support	85%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4	Environmental Review & Permitting	85%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5	ROW Acquisition	85%	N/A	N/A	N/A	N/A	N/A	N/A
6	Construction, Mitigation, & Other Project Aspects Less	85%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6.3	Environmental Mitigation	85%	N/A	N/A	N/A	N/A	N/A	N/A
7	Closeout Process	85%	\$ 231,940	\$ 197,149	\$ 19,715	\$ 177,434	\$ 34,791	\$ -
8	500-Year Project	85%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9	Climate Resiliency Goldfield Multi-Benefit Project	85%	\$ 132,093	\$ 112,279	\$ 11,228	\$ 101,051	\$ 19,814	\$ -
	<b>Total</b>		<b>\$ 364,033</b>	<b>\$ 309,428</b>	<b>\$ 30,943</b>	<b>\$ 278,485</b>	<b>\$ 54,605</b>	

Indicates the Element has been closed

[1] Reference the 22 QPR FINAL SOC dated 2023 0207 approved by DWR.

**Table 3-2** provides the detail of any excess funding (or funding due). Excess funding (or funding due) is determined based on the State's share of the prior Advance Payments and retention releases as compared to the State's Share of expenses documented within TRLIA's Statement of Costs.

**Table 3-2 True-Up Funding**

Element	Description	True Up Costs This Request [1] A	True Up Cost QTR 1-21 [2] B	Total True Up Costs C = A + B	State Share of True Up Costs D = 0.85 * C	Retention on State Share of True Up Costs (10%) [3] E = D x 10%	State Share of True Up Costs to be Immediately Funded F = D - E	Overfunded Balance Deducted from 1st Retention Release G	DWR Payments Received to Date I	Funding Due I = H - G
1	Grant Application	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2	Program Management	\$ -	\$ 3,560,875	\$ 3,560,875	\$ 3,026,743	\$ 302,674	\$ 2,724,069	\$ (1,185,217)	\$ 1,538,852	\$ 0
3	Design & ROW Support	\$ -	\$ 4,143,144	\$ 4,143,144	\$ 3,521,672	\$ 352,167	\$ 3,169,505	\$ 824,427	\$ 3,993,932	\$ -
4	Environmental Review & Permitting	\$ -	\$ 987,326	\$ 987,326	\$ 839,227	\$ 83,923	\$ 755,304	\$ 201,024	\$ 956,328	\$ -
5	ROW Acquisition	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
6	Construction, Mitigation, & Other Project Aspects Less	\$ -	\$ 24,525,159	\$ 24,525,159	\$ 20,846,385	\$ 2,084,639	\$ 18,761,747	\$ 1,940,660	\$ 20,702,407	\$ -
6.3	Environmental Mitigation	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
7	Closeout Process	\$ 231,940	\$ 23,500	\$ 255,440	\$ 217,124	\$ 21,712	\$ 195,412	\$ (17,978)	\$ -	\$ 177,434
8	500-Year Project	\$ -	\$ 1,603,116	\$ 1,603,116	\$ 1,362,649	\$ 136,265	\$ 1,226,384	\$ (1,226,384)	\$ -	\$ (0)
9	Climate Resiliency Goldfield Multi-Benefit Project	\$ 132,093	\$ -	\$ 132,093	\$ 112,279	\$ 11,228	\$ 101,051	\$ -	\$ -	\$ 101,051
	<b>Total</b>	<b>\$ 364,033</b>	<b>\$ 34,843,120</b>	<b>\$ 35,207,153</b>	<b>\$ 29,926,080</b>	<b>\$ 2,992,608</b>	<b>\$ 26,933,472</b>	<b>\$ 536,532</b>	<b>\$ 27,191,519</b>	<b>\$ 278,485</b>

Indicates the Element has been closed

[1] Reference **Table 3-1**, Total Subject to DWR Review

[2] Reference Previously Approved DWR Statement of Costs for QTR1-21

Note, based on the advances received and true-up payments to date, which total \$27,191,518, as compared to the actual costs incurred net of retention, which total \$26,933,472, the project is currently in an overfunded position and no true-up payment is requested with this Quarter 22 Report submission.

**Table 3-3** shows a ledger of payments made to date, their use (either Local Credit or State Share Advance or True-Up) and the remaining grant balance.

**Table 3-3 Ledger of Payments**

Report	Payment Request Date	Payment Receipt Date	Credit Portion	State Portion	Total Payment Amount	Notes
QPR1	2/23/2018	3/9/2018	\$0	\$1,051,439	\$1,051,439	Q1&Q2 Advance
QPR2	3/28/2018	9/17/2018	\$52,037	\$489,201	\$541,238	Q3 Advance, Q1 Local True-Up
QPR3	6/12/2018	8/21/2018	\$96,436	\$674,422	\$770,858	Q4 Advance, Q2 Local True-Up
QPR4	12/28/2018	2/7/2019	\$104,449	\$0	\$104,449	Q5&Q6 Advance, Q3 Local True-Up
QPR5	1/23/2019	3/28/2019	\$122,466	\$216,715	\$339,181	Q6&Q7 Advance, Q4 Local True-Up
QPR6	7/1/2019	8/22/2019	\$80,551	\$5,310,252	\$5,390,803	Q8 Advance, Q5 Local True-Up
QPR7	8/1/2019	7/6/2020	\$107,683	\$0	\$107,683	No Q9 Advance, Q6 Local True-Up
QPR8	12/20/2019	7/6/2020	\$64,826	\$0	\$64,826	No Q10 Advance, Q7 Local True-Up
QPR9	2/7/2020	5/4/2020	\$100,052	\$9,146,462	\$9,246,514	Q11 Advance, Q8 Local True-Up
QPR10	5/4/2020	7/30/2020	\$84,531	\$4,805,725	\$4,890,256	Q12 Advance, Q9 Local True-Up
QPR11	8/3/2020	10/30/2020	\$81,302	\$2,505,701	\$2,587,003	Q13 Advance, Q10 Local True-Up
QPR12	11/9/2020	12/28/2020	\$225,741	\$2,991,600	\$3,217,341	Q14 Advance, Q11 Local True-Up
QPR13	2/16/2021	4/2/2021	\$17,644	\$0	\$17,644	Q15 Advance, Q12 Local True-Up
QPR14-21	6/9/2021 - 12/2/2022	None Due	\$0	\$0	\$0	No Advance, No True-Up
1st Retention Release [1]	1/4/2023	In Progress	\$0	\$2,959,668	\$2,959,668	1st Retention Release
QPR22[1]	This Report	None Due	\$0	\$0	\$0	No Q24 Advance, No Q22 True-Up
<b>Cumulative Payments</b>			<b>\$1,137,718</b>	<b>\$30,151,186</b>	<b>\$31,288,903</b>	
Ag Mitigation Advance [2]					\$853,449	
Real Estate Payments Received [2]					\$6,534,830	
<b>Total Funding Received</b>					<b>\$38,677,183</b>	
<b>Total Grant Amount</b>					<b>\$42,529,521</b>	
<b>Funding Remaining</b>					<b>\$3,852,339</b>	

[1] Subject to DWR Review

[2] Tracked Separate