

Three Rivers Levee Improvement Authority

200-year Goldfields Levee Project

**Urban Flood Risk Reduction
Funding Agreement #4600012115
dated: October 4, 2017**

Quarter 22 Financial Report

October - December 2022

**Submitted by:
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February 7, 2023

1. Background

As part of its 200-Year Goldfields Levee Project (Project), the Three Rivers Levee Improvement Authority (TRLIA) constructed a new levee, approximately 2.6 miles long, to replace the deteriorating conditions of the high ground at the termination of the State Plan of Flood Control (SPFC) near the Yuba Goldfields.

The Project is being funded through the Urban Flood Risk Reduction Program via Funding Agreement #4600012115, effective on October 4, 2017. Three amendments have been executed. The first amendment was executed in April 2020 and increased the budget to its current amount. The second amendment was executed in January 2021 and incorporated work at Crossing 21 and Site J Saddle, but without a change to the budget. A third amendment in August 2021 incorporated the 500-year effort, again, without a change to the budget. In November 2022 the Climate Resiliency Project and Goldfields Multi-benefit Project Feasibility Study was added to the funding agreement, with no change to the budget.

2. Summary

This report represents Quarter 22, October - December 2022.

Table 2-1 summarizes the total payment requested with this report.

Table 2-1 Quarterly Payment Summary

Description	Amount
True-Up Request for Elements 1-4, 6-9 [1]	\$383,273
State Share True-Up Request for Elements 1-4, 6-8 [2]	\$325,782
Retention Held	\$32,578
Total Quarterly Payment Request	\$293,204

[1] Represents QPR 22 True-Up for Oct-Dec 2022

3. Summary of Project Costs

Table 3-1 provides a summary of the actual Costs for the Quarter (refer to SOC's included with this report dated February 7, 2023). **Table 3-1** also shows the State Share True-Up amount and Local Credit True-Up amounts for the actual costs incurred during the prior quarter.

For a reconciliation of the approved credit and approved and projected credit payments, refer to QPR13. As of QPR13 the authorized initial and supplemental credit of \$1,137,718 in state dollars has been complete.

Table 3-1 Quarter 22 Actual Costs

Element	Description	State Cost Share A	Actual Costs for this Request C	Actual State Cost Share E = C x A	Retention on Actual State Cost Share (10%) F = E x 10%	True Up Payment By State G = E - F	Local Creditable Cost Share H = C x (1 - A)
1	Grant Application	85%	\$ -	\$ -	\$ -	\$ -	\$ -
2	Program Management	85%	\$ -	\$ -	\$ -	\$ -	\$ -
3	Design & ROW Support	85%	\$ -	\$ -	\$ -	\$ -	\$ -
4	Environmental Review & Permitting	85%	\$ -	\$ -	\$ -	\$ -	\$ -
5	ROW Acquisition	85%	N/A	N/A	N/A	N/A	N/A
6	Construction, Mitigation, & Other Project Aspects I	85%	\$ -	\$ -	\$ -	\$ -	\$ -
6.3	Environmental Mitigation	85%	N/A	N/A	N/A	N/A	N/A
7	Closeout Process	85%	\$ 251,180	\$ 213,503	\$ 21,350	\$ 192,153	\$ 37,677
8	500-Year Project	85%	\$ -	\$ -	\$ -	\$ -	\$ -
9	Climate Resiliency Goldfield Multi-Benefit Project	85%	\$ 132,093	\$ 112,279	\$ 11,228	\$ 101,051	\$ 19,814
	Total		\$ 383,273	\$ 325,782	\$ 32,578	\$ 293,204	\$ 57,491

Indicates the Element has been closed
 [1] Reference the 22 QPR SOC dated 2023 0207

Table 3-2 provides the detail of any excess funding (or funding due). Excess funding (or funding due) is determined based on the State's share of the prior Advance Payments as compared to the State's Share of expenses documented within TRLIA's Statement of Costs.

Table 3-2 True-Up Funding

Element	Description	True Up Costs This Request [1] A	True Up Cost QTR 1-21 [2] B	Total True Up Costs C = A + B	State Share of True Up Costs D = 0.85 * C	Retention on State Share of True Up Costs (10%) [3] E = D x 10%	State Share of True Up Costs to be Immediately Funded F = D - E	Overfunded Balance Deducted from 1st Retention G	DWR Payments Received to Date I	Funding Due I = H - G
1	Grant Application	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2	Program Management	\$ -	\$ 3,561,531	\$ 3,561,531	\$ 3,027,301	\$ 302,730	\$ 2,724,571	\$ (1,185,217)	\$ 1,538,852	\$ 502
3	Design & ROW Support	\$ -	\$ 4,143,144	\$ 4,143,144	\$ 3,521,672	\$ 352,167	\$ 3,169,505	\$ 824,427	\$ 3,993,932	\$ -
4	Environmental Review & Permitting	\$ -	\$ 987,326	\$ 987,326	\$ 839,227	\$ 83,923	\$ 755,304	\$ 201,024	\$ 956,328	\$ -
5	ROW Acquisition	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
6	Construction, Mitigation, & Other Project Aspects I	\$ -	\$ 24,524,730	\$ 24,524,730	\$ 20,846,021	\$ 2,084,602	\$ 18,761,419	\$ 1,940,660	\$ 20,702,407	\$ (328)
6.3	Environmental Mitigation	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
7	Closeout Process	\$ 251,180	\$ 23,500	\$ 274,680	\$ 233,478	\$ 23,348	\$ 210,130	\$ (17,978)	\$ -	\$ 192,153
8	500-Year Project	\$ -	\$ 1,603,116	\$ 1,603,116	\$ 1,362,649	\$ 136,265	\$ 1,226,384	\$ (1,226,384)	\$ -	\$ (0)
9	Climate Resiliency Goldfield Multi-Benefit Project	\$ 132,093	\$ -	\$ 132,093	\$ 112,279	\$ 11,228	\$ 101,051	\$ -	\$ -	\$ 101,051
	Total	\$ 383,273	\$ 34,843,347	\$ 35,226,620	\$ 29,942,627	\$ 2,994,263	\$ 26,948,364	\$ 536,532	\$ 27,191,519	\$ 293,378

Indicates the Element has been closed
 [1] Reference **Table 3-1**, Total Subject to DWR Review
 [2] Reference Previously Approved DWR Statement of Costs for QTR1-21

Table 3-3 shows a ledger of payments made to date, their use (either Local Credit or State Share Advance or True-Up) and the remaining grant balance.

Table 3-3 Ledger of Payments

Report	Payment Request Date	Payment Receipt Date	Credit Portion	State Portion	Total Payment Amount	Notes
QPR1	2/23/2018	3/9/2018	\$0	\$1,051,439	\$1,051,439	Q1&Q2 Advance
QPR2	3/28/2018	9/17/2018	\$52,037	\$489,201	\$541,238	Q3 Advance, Q1 Local True-Up
QPR3	6/12/2018	8/21/2018	\$96,436	\$674,422	\$770,858	Q4 Advance, Q2 Local True-Up
QPR4	12/28/2018	2/7/2019	\$104,449	\$0	\$104,449	Q5&Q6 Advance, Q3 Local True-Up
QPR5	1/23/2019	3/28/2019	\$122,466	\$216,715	\$339,181	Q6&Q7 Advance, Q4 Local True-Up
QPR6	7/1/2019	8/22/2019	\$80,551	\$5,310,252	\$5,390,803	Q8 Advance, Q5 Local True-Up
QPR7	8/1/2019	7/6/2020	\$107,683	\$0	\$107,683	No Q9 Advance, Q6 Local True-Up
QPR8	12/20/2019	7/6/2020	\$64,826	\$0	\$64,826	No Q10 Advance, Q7 Local True-Up
QPR9	2/7/2020	5/4/2020	\$100,052	\$9,146,462	\$9,246,514	Q11 Advance, Q8 Local True-Up
QPR10	5/4/2020	7/30/2020	\$84,531	\$4,805,725	\$4,890,256	Q12 Advance, Q9 Local True-Up
QPR11	8/3/2020	10/30/2020	\$81,302	\$2,505,701	\$2,587,003	Q13 Advance, Q10 Local True-Up
QPR12	11/9/2020	12/28/2020	\$225,741	\$2,991,600	\$3,217,341	Q14 Advance, Q11 Local True-Up
QPR13	2/16/2021	4/2/2021	\$17,644	\$0	\$17,644	Q15 Advance, Q12 Local True-Up
QPR14-20	6/9/2021 - 12/2/2022	None Due	\$0	\$0	\$0	No Advance, No True-Up
1st Retention Release [1]	1/4/2023	In Progress	\$0	\$2,959,668	\$2,959,668	1st Retention Release
QPR22[1]	This Report	In Progress	\$0	\$293,204	\$293,204	No Q24 Advance, Q22 True-Up
Cumulative Payments			\$1,137,718	\$30,444,389	\$31,582,107	
Ag Mitigation Advance [2]					\$853,449	
Real Estate Payments Received [2]					\$6,534,830	
Total Funding Received					\$38,970,386	
Total Grant Amount					\$42,529,521	
Funding Remaining					\$3,559,135	

[1] Subject to DWR Review

[2] Tracked Separate

